



Practitioner's Docket No. 2003DE418

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Uwe Falk et al.

Application No.: 10/554,190

Group No.:

Filed: October 21, 2005

For: USE OF POLYSILAZANE FOR THE PRODUCTION OF
HYDROPHOBICALLY AND OLEOPHOBICALLY MODIFIED SURFACES

Commissioner for Patent
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

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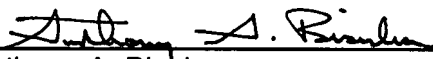
Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
 - 1a. ☒ Form PTO/SB/08A.
 - 1b. ☒ Copies of Information Disclosure Statement citations.
 - 1c. ☐ PCT Search Report
 - 1d. ☒ Citation of Co-pending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached PTO/SB/08A Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached Form PTO/SB/08A.

Respectfully submitted,



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Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

| | |
|------------------------|------------------|
| Application Number | 10/554,190 |
| Filing Date | October 21, 2005 |
| First Named Inventor | Uwe Falk et al. |
| Art Unit | 1762 |
| Examiner Name | |
| Attorney Docket Number | 2003DE418 |

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|-------|---|----|---|
| Sheet | 1 | of | 2 |
|-------|---|----|---|

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| | | Number - Kind Code ² (if known) | | | |
| | AA | 20020034885 | 3/21/2002 | Shindo | |
| | AB | 6329487 | 12/11/2001 | Abel et al. | |
| | AC | 20050279255 | 12/22/2005 | Suzuki et al. | |
| | AD | 6627559 | 9/30/2003 | Shindo | |
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| | AL | | | | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Translation | T ⁶ |
|-----------------------|--------------------------|---|-----------------------------------|--|---|--------------------------|
| | | Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | | | | |
| | BA | WO 2004/039904 | 5/13/2004 | WIPO | English | <input type="checkbox"/> |
| | BB | DE 10320180 | 6/24/2004 | Germany | Machine Assisted English Translation | <input type="checkbox"/> |
| | BC | | | | | <input type="checkbox"/> |
| | BD | | | | | <input type="checkbox"/> |

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| | | | | Application Number | 10/554,190 |
| | | | | Filing Date | October 21, 2005 |
| | | | | First Named Inventor | Uwe Falk et al. |
| | | | | Art Unit | 1762 |
| | | | | Examiner Name | |
| Sheet | 2 | of | 2 | Attorney Docket Number | 2003DE418 |

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|-----------------------|--|--------------------------|
| | CA | U.S. Patent Application Serial No. 11/791,550 by Brand et al., filed 5/23/2007 | <input type="checkbox"/> |
| | CB | U.S. Patent Application Serial No. 10/591,623 by Brand et al., filed 9/1/2006 | <input type="checkbox"/> |
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